

# 1st SolarNet school: formation of spectral lines in solar/stellar atmospheres

**Juan Manuel Borrero**

borrero@leibniz-kis.de

Leibniz Institut für Sonnenphysik  
Freiburg im Breisgau (Germany)

<ftp://ftp.leibniz-kis.de/personal/borrero/SolarNet/>

September 9, 2019

# Radiative transfer equation for intensity

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity

## Definition

$I(\nu)$  = specific intensity

$$I(\nu) = \frac{\text{energy}}{\text{time} * \text{area} * \text{frequency} * \text{solid angle}}$$

## Phenomenological RTE derivation

The RTE tells us how the energy contained in the radiation field changes along the ray path:

$$\frac{dI(\nu, s)}{ds} = \text{Sources} - \text{Sinks}$$

$s$  = coordinate along the ray path

## Sources

Sources =  $j(\nu, \mathbf{s})$  = specific emissivity

$$j(\nu, \mathbf{s}) = \frac{\text{energy emitted by the medium}}{\text{time} * \text{area} * \text{frequency} * \text{solid angle} * \text{lengh}}$$

## Sinks

Sources =  $\eta(\nu, \mathbf{s})$  = specific absorption

$$\eta(\nu, \mathbf{s}) = \frac{\text{energy absorbed by the medium}}{\text{time} * \text{area} * \text{frequency} * \text{solid angle} * \text{lengh}}$$

## Sinks

If there is no light then it cannot be absorbed:

$$\begin{aligned}\eta(\nu, s) &\propto I(\nu, s) \\ \eta(\nu, s) &= \mathcal{K}(\nu, s)I(\nu, s)\end{aligned}$$

## Absorption coefficient

$$\mathcal{K}(\nu, s) = \frac{1}{\text{length}}$$

The radiative transfer  
equation for intensity

# Optical depth

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

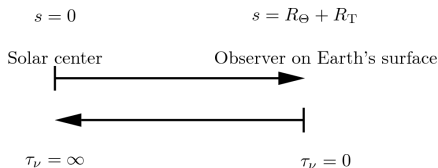
RTE in terms of  $s$ :

$$\boxed{\frac{dI(\nu, s)}{ds} = j(\nu, s) - \mathcal{K}(\nu, s)I(\nu, s)} \quad (1)$$

In red we indicate the parameters that depend on the properties of the medium (density, pressure, temperature, chemical composition, magnetic field, etc).

We define optical depth  $\tau(\nu, s)$  as:

$$d\tau(\nu, s) = -\mathcal{K}(\nu, s)ds \quad (\tau \text{ dimensionless}) \quad (2)$$



Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity

Substituting Eq. 2 into Eq. 1 we obtain:

$$\frac{1}{\mathcal{K}(\nu, s)} \frac{dI(\nu, s)}{ds} = \frac{j(\nu, s)}{\mathcal{K}(\nu, s)} - I(\nu, s)$$
$$-\frac{dI(\nu, s)}{d\tau(\nu, s)} = \frac{j(\nu, s)}{\mathcal{K}(\nu, s)} - I(\nu, s)$$

$\nearrow S(\nu, s)$

$S(\nu, s)$  is the so-called *Source function* and corresponds to the ratio between the specific emissivity  $j(\nu, s)$  and absorption  $\mathcal{K}(\nu, s)$ .

RTE in terms of  $\tau$ :

$$\boxed{\frac{dI(\tau)}{d\tau} = I(\tau) - S(\tau)} \quad (3)$$

# Formal solution of the RTE

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The RTE is a first order inhomogeneous differential equation:

*In principle* it can be solved using an integrating factor.

$$e^{-\tau} \frac{dI(\tau)}{d\tau} = e^{-\tau} \{I(\tau) - S(\tau)\}$$

→ re-agrouping terms

$$\frac{d}{d\tau} \{I(\tau)e^{-\tau}\} = -S(\tau)e^{-\tau}$$

→ direct integration between  $\tau_1$  and  $\tau_2$

$$\int_{\tau_1}^{\tau_2} d\{I(\tau)e^{-\tau}\} = - \int_{\tau_1}^{\tau_2} S(\tau)e^{-\tau} d\tau$$

The radiative transfer  
equation for intensity

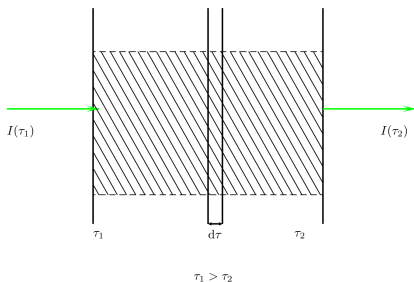
# Formal solution of the RTE

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

Easily leads to:

$$I(\tau_2) = I(\tau_1)e^{\tau_2 - \tau_1} - \int_{\tau_1}^{\tau_2} S(\tau)e^{\tau_2 - \tau} d\tau \quad (4)$$



The radiative transfer  
equation for intensity



# Survival probability

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

If we ignore sources,  $S(\tau) = 0$ , in Eq. 4, the solution to the RTE becomes:

$$\begin{aligned} I(\tau_2) &= I(\tau_1)e^{\tau_2-\tau_1} \quad \text{with } \tau_1 > \tau_2 \\ \frac{I(\tau_2)}{I(\tau_1)} &= e^{\tau_2-\tau_1} \end{aligned}$$

On the other hand:

$$\begin{aligned} \frac{I(\tau_2)}{I(\tau_1)} &= \frac{\text{Energy per unit ... at } \tau_2}{\text{Energy per unit ... at } \tau_1} \\ &= \frac{\text{Number of photons per unit ... at } \tau_2 * h\nu}{\text{Number of photons per unit ... at } \tau_1 * h\nu} \end{aligned}$$

# Survival probability

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

Finally we arrive to:

$$\frac{\text{Number of photons per unit ... at } \tau_2}{\text{Number of photons per unit ... at } \tau_1} = e^{\tau_2 - \tau_1}$$

For instance:

If  $\tau_1 - \tau_2 = 1$  (remember  $\tau_1 > \tau_2$ ), then:

$$\frac{\text{Number of photons per unit ... at } \tau_2}{\text{Number of photons per unit ... at } \tau_1} \approx 0.368$$

About 37 % of the photons at any given frequency  $\nu$  survive after travelling one unit of optical depth (if there are no sources of photons in between).

The radiative transfer  
equation for intensity

# Concept of $\overline{\Delta\tau} = 1$

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity

Question: what is the mean optical depth travelled by the photons we observe at some frequency  $\nu$  ?

We make  $\tau_1 = \infty$  (Sun's or star's interior) and  $\tau_2 = 0$  (observer's location):

$$\begin{aligned}\overline{\Delta\tau} &= \frac{\int_0^{\infty} \Delta\tau p(\Delta\tau) d(\Delta\tau)}{\int_0^{\infty} p(\Delta\tau) d(\Delta\tau)} = \left\{ p(\Delta\tau) \text{ is the survival probability} \right\} = \\ &= \frac{\int_0^{\infty} \Delta\tau e^{-\Delta\tau} d(\Delta\tau)}{\int_0^{\infty} e^{-\Delta\tau} d(\Delta\tau)} = \left\{ \text{integration by parts} \right\} = 1\end{aligned}$$

Answer: the mean optical depth travelled by the observed photons is  $\overline{\Delta\tau}=1$  (in the absence of sources). This stands for all frequencies.

# What geometrical depth is $\overline{\Delta\tau} = 1$ ?

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

Remember relation between geometrical  $s$  and optical depth  $\tau$  (Eq. 2)

$$d\tau(\nu, s) = -\mathcal{K}(\nu, s)ds$$

→ integrate between  $s_1$  and  $s_2$

$$\int_{s_1}^{s_2} d\tau(\nu, s) = - \int_{s_1}^{s_2} \mathcal{K}(\nu, s)ds$$

→ take mean absorption coefficient on the rhs

$$\tau(\nu, s_2) - \tau(\nu, s_1) \approx -\widetilde{\mathcal{K}}(\nu)\Delta s$$

→ take mean of the photon ensemble

$$\overline{\tau(\nu, s_2) - \tau(\nu, s_1)} \approx -\widetilde{\mathcal{K}}(\nu)\overline{\Delta s} = 1 \text{ !!!!}$$

→ =1 stands for all possible frequencies

# What geometrical depth is $\overline{\Delta\tau} = 1$ ?

Finally we arrive at:

$$\overline{\Delta s} \approx -\frac{1}{\overline{\overline{\mathcal{K}}(\nu)}} \rightarrow \text{frequency dependent} \quad (5)$$

Take ratio at two different frequencies:

$$\frac{\overline{\Delta s_1}}{\overline{\Delta s_2}} \approx \frac{\overline{\overline{\mathcal{K}}(\nu_2)}}{\overline{\overline{\mathcal{K}}(\nu_1)}}$$

- ▶ If absorption at  $\nu_2 \gg \nu_1$  then  $\overline{\Delta s_1} \gg \overline{\Delta s_2}$ . Therefore, photons with frequency  $\nu_1$  have travelled much more before being observed: they come from further (i.e. deeper in the sun/star) than those with  $\nu_2$ .
- ▶ If absorption at  $\nu_2 \ll \nu_1$  then  $\overline{\Delta s_1} \ll \overline{\Delta s_2}$ . Therefore, photons with frequency  $\nu_2$  have travelled much less before being observed: they come from closer (i.e not so deep in the sun/star) than those with  $\nu_1$ .

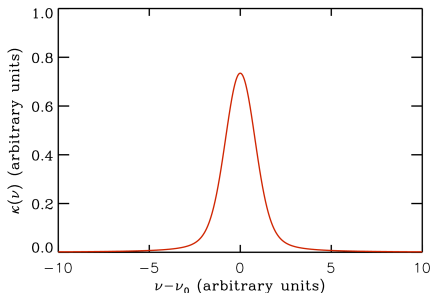
# Absorption coefficient $\mathcal{K}(\nu)$ : adding spectral lines

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity

- ▶  $\mathcal{K}(\nu) \propto$  Probability of absorption of a photon by an atom
- ▶ Probability is not a  $\delta$ -Dirac located at  $\nu_0 = \hbar^{-1}(E_{\text{upp}} - E_{\text{low}})$ 
  1. Energy levels have an intrinsic width due to the uncertainty principle: **Lorentzian profile in  $\nu$**
  2. Atom's thermal motion: **Gaussian profile in  $\nu$**
  3. Collisions between atoms: **Lorentzian profile in  $\nu$**
- ▶ Convolution of Lorentzian and Gaussian: **Voigt profile in  $\nu$**

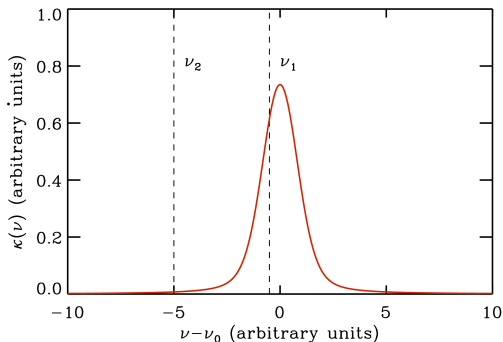


# Absorption coefficient $\mathcal{K}(\nu)$

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity



1.  $\nu_2 \gg \nu_0 \rightarrow \mathcal{K}(\nu_1) \ll 1 \rightarrow$  observe photons coming from deep into the solar/stellar surface
2.  $\nu_1 \approx \nu_0 \rightarrow \mathcal{K}(\nu_0) \approx 1 \rightarrow$  observe photons closer to us

How does the intensity  $I(\nu)$  look like ?

# Absorption lines: Photosphere

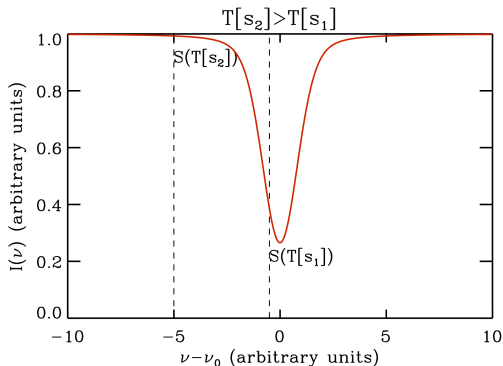
1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

Temperature decreases outwards

$$\frac{dT(s)}{ds} < 0$$

$$S(T[s], \nu) = \frac{2h\nu^3}{c^2} \frac{1}{e^{\frac{h\nu}{kT[s]}} - 1} \quad (\text{Source function Eq. 3})$$



The radiative transfer  
equation for intensity



# Emissions lines: Corona

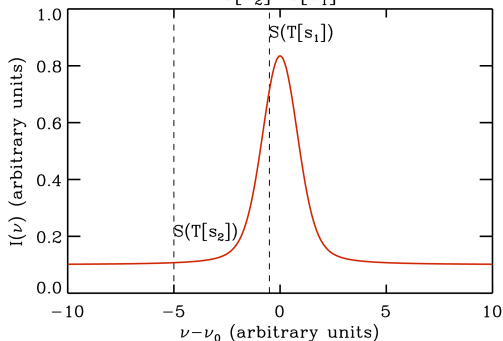
1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

Temperature increases outwards

$$\frac{dT(s)}{ds} > 0$$

$$S(T[s], \nu) = \frac{2h\nu^3}{c^2} \frac{1}{e^{\frac{h\nu}{kT[s]}} - 1} \quad (\text{Source function Eq. 3})$$

$T[s_2] < T[s_1]$



Juan Manuel Borrero  
borrero@leibniz-kis.  
de

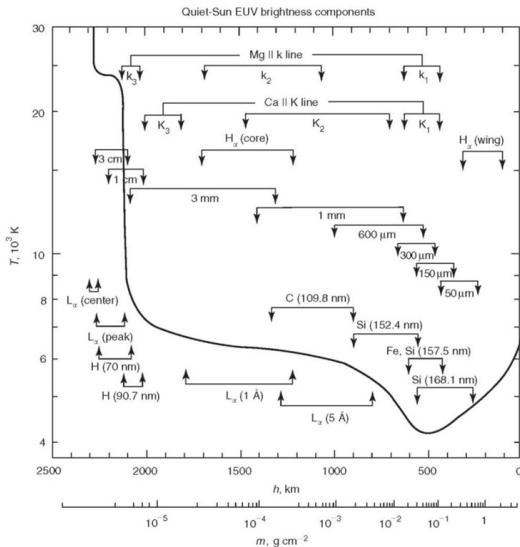
The radiative transfer  
equation for intensity

# The solar atmosphere: absorption/emission

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

**Jan Manuel Borrero**  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity



# A very easy situation: blackbody radiation

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

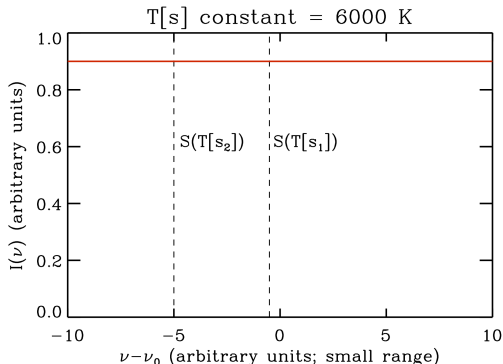
Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity

constant  $T[s]$

$$T[s] = T_0 \quad \forall s$$

$$S(T[s], \nu) = \frac{2h\nu^3}{c^2} \frac{1}{e^{\frac{h\nu}{kT_0}} - 1} \quad (\text{Source function Eq. 3})$$



# A very easy situation: black body radiation

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

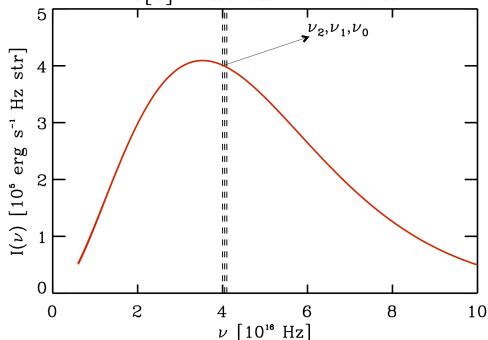
Juan Manuel Borrero  
borrero@leibniz-kis.  
de

constant  $T[s]$

$$T[s] = T_0 \quad \forall s$$

$$S(T[s], \nu) = \frac{2h\nu^3}{c^2} \frac{1}{e^{\frac{h\nu}{kT_0}} - 1} \quad (\text{Source function Eq. 3})$$

$T[s]$  constant = 6000 K



The radiative transfer  
equation for intensity

# A very easy situation: blackbody radiation

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

constant  $T[s]$

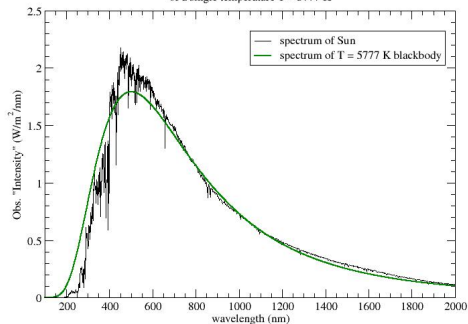
$$T[s] = T_0 \quad \forall s$$

$$S(T[s], \nu) = \frac{2h\nu^3}{c^2} \frac{1}{e^{\frac{h\nu}{kT_0}} - 1} \quad (\text{Source function Eq. 3})$$

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity

Sun's Spectrum vs. Thermal Radiator  
of a single temperature  $T = 5777 \text{ K}$



# Absorption with core emission

1st SolarNet school:  
formation of spectral  
lines in solar/stellar  
atmospheres

T decreases and then increases

$$s_2 > s_1 > s_0 \quad \text{but} \quad T[s_2] > T[s_0] > T[s_1]$$

$$S(T[s], \nu) = \frac{2h\nu^3}{c^2} \frac{1}{e^{\frac{h\nu}{kT_0}} - 1} \quad (\text{Source function Eq. 3})$$

Juan Manuel Borrero  
borrero@leibniz-kis.  
de

The radiative transfer  
equation for intensity

